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Macintosh: File System Specifications & Terms (10/96)

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TOPIC -----

What are the limitations of the Hierarchical File System (HFS) on the Macintosh? Are there practical limits after which performance suffers? This article explains some of the terminology involved when speaking about volumes and the file system. This article also explains why different HFS structures on different hard drives can cause small files to take up more space on a larger drive than they do on a smaller drive.

DISCUSSION -----

The Hierarchical File System Specifications

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Before discussing hard drive block allocation, and the intricacies of determining allocation block sizes and such, let's first review the Hierarchical File System, better known as HFS.

Below are some specifications and associated terminology used to describe the Macintosh HFS structure. The following numbers apply to both System 6 and System 7, and are current as of this writing.

Volume

A volume is either a full disk, or a section of a disk, partitioned into separate parts. If you partition a single drive then each partition is considered a volume.

- The maximum volume size under System 6 and System 7 is 2 gigabytes. System 7.5 increased that limit to 4 GB and System 7.5.2 (and later) increases that limit to 2 terabytes on some computers, including:

- PowerBook 190, 1400, 5300 series and Duo 2300c/100.
- Macintosh Performa 5400 and 6400 series and 6360/160
- Power Macintosh 5400, 6400, 7200, 7500, 7600, 8500, and 9500 series
- Workgroup Server 7250/120 and Workgroup Server 8550 series

- The maximum number of volumes is limited only by the amount of available system memory.

- The maximum file size is 2 gigabytes.
- The maximum number of files on a volume is 65,536.
- The maximum number of files in a folder is 32,767.
- The maximum size of the data fork in a file is 2 gigabytes.
- The maximum size of the resource fork in a file is 16 megabytes.

Logical block

A logical block is a unit of drive space composed of up to 512 bytes. A logical block is numbered from 0 to n, n being the last block on the volume - not necessarily the hard disk. Take the volume size, divide it by 512 bytes, and you have the number of logical blocks.

Allocation block

An allocation block is a unit of storage on a volume, composed of one or more logical blocks. The larger the volume, the more logical blocks comprise one allocation block. The maximum number of allocation blocks per volume is 65,536; most volumes have slightly less.

In both the Macintosh and DOS environment, the maximum number of blocks on a driver is 65,536 because both Operating Systems address the allocation blocks with a 16-bit address. Drives larger than 512 MB cannot use a block size of 8K or less because there just aren't enough addresses. Thus, if a 2 GB drive is one Macintosh partition, the smallest file size allowed is 32K. (If you save a TeachText file with one character in it, it would take up 32K of disk space.) This means that the size of your hard drive determines the minimum size of each file.

A non-empty file fork always occupies at least one allocation block, no matter how many bytes of data the file fork contains. If the data fork and resource fork of a file contain data, the file uses two allocation blocks. If all the files are only one allocation block long, then there can be as many files on the volume as there are allocation blocks for the volume. However, when a file is longer than one allocation block, the total number of possible files decreases. For example, on a volume with 65,535 allocations block, you can have:

- 65,536 files, each 1 allocation block long.
- 32,768 files, each 2 allocation blocks long.
- 2048 files, each 32 allocation blocks long.
- 1024 files, each 64 allocation blocks long.

All of the numbers above refer to the System's record structures. Other elements place tighter restrictions on the actual number of usable files in the root directory. The Standard File dialog uses the List Manager. The List Manager has a limit of 32K of data, which is somewhere between 800 and 900 files. Though more files can be handled in a directory, the List Manager will not display the first 32K.

A second element concerns the performance of the Finder when approaching 800 to 900 files per directory. Even simple operations, such as moving the icon of a file, tend to slow down considerably when this number of files exists in a directory. This limitation changes depending on the speed of the individual Macintosh model.

Determining Allocation Block Size

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Follow these steps to calculate the allocation block size:

- 1) Take the size of the drive in megabytes and multiply it by 2000 (there are 2000 disk blocks in 1 MB of disk space).
- 2) Divide that number by 65,536, since the Macintosh cannot have more than 65,536 allocation blocks.
- 3) Round this number up and multiply it by 512.

The result is the number of bytes in the allocation block. Here is an example using a 230 MB drive:

```
230 x 2000 / 65536 = 7.019
7.019 rounded up = 8
8 x 512 = 4096 bytes
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So what does this mean to you? The larger the hard drive, the larger the allocation block size, and the more space that is wasted on small files. If you have a large drive with a lot of small files, the hard drive space is being used less efficiently than if most of your files average 32K in size.

Important Note: Since drives come from different manufacturers, it is possible to get different values. This is because a 500 MB drive is not exactly 500 MB but is usually somewhat larger. This could result in different logical block sizes than those listed in the chart (see Allocation Block Size Table below), but the difference should not be more than +/- 512 bytes. This difference is most noticeable on the larger drives. For example, the 500 MB drive actually calculates to 8192 bytes but the 500 MB drive in some Macintosh computers is actually 540 MB, which has a logical block size of 8704, as listed in the chart.

Allocation Block Size Table

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Here is a complete list of allocation block sizes for the various volume sizes, based on the formula described above:

Begin_Table

Volume Size	Allocation Block Size	Minimum file size
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0-32MB	1 logical block	0.5K
33-64MB	2 logical blocks	1.0K
65-96MB	3 logical blocks	1.5K
97-128MB	4 logical blocks	2.0K
129-160MB	5 logical blocks	2.5K
161-192MB	6 logical blocks	3.0K
193-224MB	7 logical blocks	3.5K
225-256MB	8 logical blocks	4.0K
257-288MB	9 logical blocks	4.5K
289-320MB	10 logical blocks	5.0K
321-352MB	11 logical blocks	5.5K
353-384MB	12 logical blocks	6.0K
385-416MB	13 logical blocks	6.5K
417-448MB	14 logical blocks	7.0K
449-480MB	15 logical blocks	7.5K
481-512MB	16 logical blocks	8.0K
513-544MB	17 logical blocks	8.5K
545-576MB	18 logical blocks	9.0K
577-608MB	19 logical blocks	9.5K
609-640MB	20 logical blocks	10.0K
641-672MB	21 logical blocks	10.5K
673-704MB	22 logical blocks	11.0K
705-736MB	23 logical blocks	11.5K
737-768MB	24 logical blocks	12.0K
769-800MB	25 logical blocks	12.5K
801-832MB	26 logical blocks	13.0K
833-864MB	27 logical blocks	13.5K
865-896MB	28 logical blocks	14.0K
897-928MB	29 logical blocks	14.5K
929-960MB	30 logical blocks	15.0K
961-992MB	31 logical blocks	15.5K
993-1024MB	32 logical blocks	16.0K
1025-1056MB	33 logical blocks	16.5K
1057-1088MB	34 logical blocks	17.0K
1089-1120MB	35 logical blocks	17.5K
1121-1152MB	36 logical blocks	18.0K
1153-1184MB	37 logical blocks	18.5K
1185-1216MB	38 logical blocks	19.0K
1217-1248MB	39 logical blocks	19.5K
1249-1280MB	40 logical blocks	20.0K
1281-1312MB	41 logical blocks	20.5K
1313-1344MB	42 logical blocks	21.0K
1345-1376MB	43 logical blocks	21.5K
1377-1408MB	44 logical blocks	22.0K
1409-1440MB	45 logical blocks	22.5K
1441-1472MB	46 logical blocks	23.0K
1473-1504MB	47 logical blocks	23.5K
1505-1536MB	48 logical blocks	24.0K
1537-1568MB	49 logical blocks	24.5K
1569-1600MB	50 logical blocks	25.0K
1601-1632MB	51 logical blocks	25.5K
1633-1664MB	52 logical blocks	26.0K
1665-1696MB	53 logical blocks	26.5K

1697-1728MB	54 logical blocks	27.0K
1729-1760MB	55 logical blocks	27.5K
1761-1792MB	56 logical blocks	28.0K
1793-1824MB	57 logical blocks	28.5K
1825-1856MB	58 logical blocks	29.0K
1857-1888MB	59 logical blocks	29.5K
1889-1920MB	60 logical blocks	30.0K
1921-1952MB	61 logical blocks	30.5K
1953-1984MB	62 logical blocks	31.0K
1985-2016MB	63 logical blocks	31.5K
2017-2048MB	64 logical blocks	32.0K
2049-2080MB	65 logical blocks	32.5K
2081-2112MB	66 logical blocks	33.0K
2113-2144MB	67 logical blocks	33.5K
2145-2176MB	68 logical blocks	34.0K
2177-2208MB	69 logical blocks	34.5K
2209-2240MB	70 logical blocks	35.0K
2241-2272MB	71 logical blocks	35.5K
2273-2304MB	72 logical blocks	36.0K
2305-2336MB	73 logical blocks	36.5K
2337-2368MB	74 logical blocks	37.0K
2369-2400MB	75 logical blocks	37.5K
2401-2432MB	76 logical blocks	38.0K
2433-2464MB	77 logical blocks	38.5K
2465-2496MB	78 logical blocks	39.0K
2497-2528MB	79 logical blocks	39.5K
2529-2560MB	80 logical blocks	40.0K
2561-2592MB	81 logical blocks	40.5K
2593-2624MB	82 logical blocks	41.0K
2625-2656MB	83 logical blocks	41.5K
2657-2688MB	84 logical blocks	42.0K
2689-2720MB	85 logical blocks	42.5K
2721-2752MB	86 logical blocks	43.0K
2753-2784MB	87 logical blocks	43.5K
2785-2816MB	88 logical blocks	44.0K
2817-2848MB	89 logical blocks	44.5K
2849-2880MB	90 logical blocks	45.0K
2881-2912MB	91 logical blocks	45.5K
2913-2944MB	92 logical blocks	46.0K
2945-2976MB	93 logical blocks	46.5K
2977-3008MB	94 logical blocks	47.0K
3009-3040MB	95 logical blocks	47.5K
3041-3072MB	96 logical blocks	48.0K
3073-3104MB	97 logical blocks	48.5K
3105-3136MB	98 logical blocks	49.0K
3137-3168MB	99 logical blocks	49.5K
3169-3200MB	100 logical blocks	50.0K
3201-3232MB	101 logical blocks	50.5K
3233-3264MB	102 logical blocks	51.0K
3265-3296MB	103 logical blocks	51.5K
3297-3328MB	104 logical blocks	52.0K
3329-3360MB	105 logical blocks	52.5K
3361-3392MB	106 logical blocks	53.0K

3393-3424MB	107 logical blocks	53.5K
3425-3456MB	108 logical blocks	54.0K
3457-3488MB	109 logical blocks	54.5K
3489-3520MB	110 logical blocks	55.0K
3521-3552MB	111 logical blocks	55.5K
3553-3584MB	112 logical blocks	56.0K
3585-3616MB	113 logical blocks	56.5K
3617-3648MB	114 logical blocks	57.0K
3649-3680MB	115 logical blocks	57.5K
3681-3712MB	116 logical blocks	58.0K
3713-3744MB	117 logical blocks	58.5K
3745-3776MB	118 logical blocks	59.0K
3777-3808MB	119 logical blocks	59.5K
3809-3840MB	120 logical blocks	60.0K
3841-3872MB	121 logical blocks	60.5K
3873-3904MB	122 logical blocks	61.0K
3905-3936MB	123 logical blocks	61.5K
3937-3968MB	124 logical blocks	62.0K
3969-4000MB	125 logical blocks	62.5K
4001-4032MB	126 logical blocks	63.0K
4033-4064MB	127 logical blocks	63.5K
4065-4096MB	128 logical blocks	64.0K

End_Table

Volume organization of HFS

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The first two logical blocks (labeled 0 and 1) of a volume are the boot blocks. This is where the information for mounting the volume is stored.

The third logical block (labeled 2) is the Master Directory Block, or MDB for short. This block contains part of the data structure of a flat directory volume. It contains the volume information and the volume allocation block map. This block is where the information for the hard disk, such as number of files in the directory and the last time the drive was initialized, is stored.

Logical blocks 3 to x (see the following table) contain the volume bitmap. This block is a data structure containing a sequence of bits, one bit for each allocation block. The volume bitmap stores a reference to every piece of data that is in the allocation blocks and indicates whether the block is allocated or free for use. Volume bitmaps exist both on hierarchical directory volumes and in memory.

Volumes may have as few as 32,768 allocation blocks and as many as 65,536 allocation blocks. This table defines what logical block the volume bitmap ends on based on the number of allocation blocks:

Begin_Table

Allocation Blocks	Last Volume Bitmap Logical Block
-----	-----
> 61,440	18

> 57,344	17
> 53,248	16
> 49,152	15
> 45,056	14
> 40,960	13
> 36,864	12
> 32,768	11

End_Table

Allocation blocks begin after the volume bitmap. Contained in the allocation blocks are the catalog, extents and data files.

The catalog file is a list of all files and folders stored in a volume. The catalog file maintains the relationships between the files and directories on a hierarchical directory volume. It corresponds to the file directory on a flat directory volume. The catalog file is organized and accessed using a B- tree structure. The files are arranged in alphabetical order evenly balanced on the tree so that finding a "Z" doesn't take any longer than finding an "A". This structure is the glue that keeps the catalog file together.

The extents tree file contains the locations of all the files on a volume (An extent is a series of contiguous allocation blocks). The extents tree file is where the information (such as where to find file, and how many extents a file is divided into) about the data files you have created is stored. Any given file you create may be broken up into multiple extents. When extents are linked together, behind the scenes, with information from the extents tree file, the appearance is of one data file.

The next, and largest, section of the volume contains all the actual data files and applications which are referenced using the above files.

The next to last block on the hard disk contains the alternate master directory. This alternate is a backup to the MDB kept on logical block 2. It is used when the file manager determines that the MDB is corrupt and needs to be rewritten with the correct information.

The very last block is empty. It is used to check for bad sections of the hard disk.

What You Can Do

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So, can you do anything to decrease the file size on a large hard drive? One solution is to partition larger drives into smaller partitions, or logical drives, each with a maximum of 65,536 blocks.

Apple's Drive Setup can be used to partition qualified Apple hard drives and some removable devices as listed in the Drive Setup Guide file. Unsupported hard drives will show up in the device list by name, however, if you select an unsupported hard drive you will get the message, "Cannot modify a disk in an unsupported drive."

Drive Setup is supported on all of the Power Macintosh computers. This includes the original Power Macintosh computers and the new PCI-based Power Macintosh computers. Drive Setup is also supported on 68LC040 processor-based systems that have IDE drives installed. Drive Setup is not supported with the Power Macintosh Upgrade Card. Drive Setup 1.0.2 is available from online services.

There are third parties that offer a solution for creating multiple Macintosh partitions on Macintosh systems not supported by Drive Setup. A few are:

- Hard Disk Toolkit (HDT) by FWB Software, Inc.
- SilverLining by LaCie Ltd.
- Micronet Utility by MicroNet Technology
- MicroTech Utility by MicroTech International
- Drive 7 by Casa Blanca Works, Inc.

This article provides information about a non-Apple product. Apple Computer, Inc. is not responsible for its content. Please contact the vendor for additional information.

The Tech Info Library article titled "Locating Vendor Information" can help you search for a particular vendor's address and phone number.

These articles can help you locate the Drive Setup software update mentioned here:

- "Where To Find Apple Software Updates" - Lists online services for free Apple software updates.
- "Obtaining Apple Product Support in the USA" - Lists 800 numbers and online services for software updates, Apple support information, and a subset of the Apple Tech Info Library.

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